

Political Science 6446, sec. 701
Spring 2012, M 4:00-6:40, WWP 418
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ōThe experience of democracy is like the experience of life itself

Session 3 (Feb 6): Regime Types, Part II: Democracy and Its Various Forms

Readings:

- *R. Dahl, *On Democracy* (New Haven: Yale University Press, 1998), chs. 4–7, 10, and 11.
- *C. Tilly, *Democracy* (New York: Cambridge University Press, 2007), chs. 1–2.
- *P. Berhagen, ch. 3 in Haerpfer, *Democratization*.
- #A. Lijphart, *Patterns of Democracy: Government Forms and Performance in Thirty-Six Countries* (New Haven: Yale University Press, 1999), chs. 2–3 (pp. 9–47).
- #D. Collier and R. Adcock, "Democracy and Dichotomies: Justifying Choices about Concepts," *Annual Review of Political Science* (Palo Alto: Annual Reviews, 1999), pp. 537–565.
- A. Armony and H. Schamis, "Babel in Democratization Studies," *JOD* 16, no. 4 (October 2005): 113–128.

Question: How do you define democracy? Given your definition, how easy is it to measure democracy? Using your definition, how democratic is the United States? Also, go to websites such as Freedom House and Transparency International and examine their methodology for measurement of corruption and democracy.

Question: How well do Lijphart's "Westminster" and "consensus" categories capture the variety of democracies around the world?

Recommended readings:

- As much of *The Federalist Papers* as you want to read (but especially Nos. 10, 23, 29, 39, and 51).
- R. Dahl, *Polyarchy* (New Haven, CT: Yale University Press, 1971), chs. 1–2.
- R. Dahl, *On Political Equality* (New Haven, CT: Yale University Press, 2006).
- G. Sartori, *The Theory of Democracy Revisited*

PART II: DEMOCRATIZATION: STAGES AND CAUSAL FACTORS

Session 5 (Feb 20): The Stages of Democratization: Breakdown, Establishment, Consolidation

Readings:

*D. Berg-Schlosser (ch. 4) and J. Markoff (ch. 5) in Haerpfer, *Democratization*.

#L. Whitehead, *Democratization: Theory and Experience* (Oxford: Oxford University Press, 2002), ch. 1, "On 'Democracy' and 'Democratization,'" pp. 26-35 only.

#D. Rustow, "Transitions to Democracy: Toward a Dynamic Model," *Comparative Politics* 2 (1970): 337–363.

C. Schneider and P. Schmitter, "Liberalization, Transition and Consolidation: Measuring the Components of Democratization," *Democratization* 11, no. 5 (December 2004): 59–09.

J. Linz and A. Stepan, "Toward Consolidated Democracies," *JOD* 7, no. 2 (1996): 14–33.

G. O'Donnell, "Illusions about Consolidation," *JOD* 7, no. 2 (1996): 34–51.

R. Gunther, N. Diamandouros, and H-

Session 7 (Mar 5): Internal Structural Explanations of Democratization, Part I: Class Structure and Economic Development

Readings:

*R. Inglehart and C. Welzel, *Modernization, Cultural Change, and Democracy: The Human Development Sequence*, ch. 1.

*P. Bernhagen, ch.8 in in Haerpher, *Democratization*.

S. M. Lipset, "Some Social Requisites of Democracy: Economic Development and Political Legitimacy," *American Political Science Review* 53 (1959): 69–104.

#B. Moore, *The Social Origins of Dictatorship and Democracy* (Boston: Beacon Press, 1966), ch. 7 ("The Democratic Route to Modern Society").

A. Przewoski and F. Limongi, "Modernization: Theory and Facts," *World Politics* 49, no. 2 (1997): 155-183.

#B. Bueno de Mesquita and G. Downs, "Development and Democracy," *Foreign Affairs* 84, no. 5 (2005): 77–86.

M. Ross, "Does Oil Hinder Democracy," *World Politics* 53, no. 3 (April 2001): 325-61.

T. Karl, "Economic Inequality and Democratic Instability," *JOD* 11, no. 1 (2000): 149–156.

#B. Ansell and D. Samuels, "Inequality and Democratization: A Contractarian Approach," *Comparative Political Studies* 43, no. 12 (December 2010): 1543-1574.

Question: What's new about Inglehart and Welzel's revised theory of modernization?

Question: Can democracy develop without a middle class? Can it survive severe economic inequality?

Question: Is the "Resource Curse" real?

Recommended readings:

A. Przewoski, *Democracy and the Market* (Cambridge University Press, 1986), ch. 2.

D. Rueschemeyer, E. Stephens, and J. Stephens, *Capitalist Development and Democracy* (Chicago: University of Chicago Press, 1992), chs. 1 and 3.

A. Lijphart, *Patterns of Democracy: Government Forms and Performance in Thirty-Six Countries* (New Haven: Oxford University Press, 1977).

Question: How convincing is Inglehart's discussion of values, value change, and democracy?

Question: Is "civil society" crucial to consolidated democracy, or a useless pile of conceptual mush?

Question: Is Tilly talking about interpersonal trust, civil society, or something else?

Recommended readings:

E.S. Griffith, J. Plamentaz, and J. R. Pennock, "Cultural Prerequisites to a Successfully Functioning Democracy," *American Political Science Review* 50/1 (1956): 101–137.

R. Inglehart, *Culture Shift in Advanced Industrial Society* (Princeton, NJ: Princeton U. Press, 1990).

R. Inglehart, *Modernization and Postmodernization: Cultural, Economic and Political Change in 43 Societies* (Princeton, NJ: Princeton University Press, 1997).

C. Bicchieri, *The Grammar of Society: The Nature and Dynamics of Social Norms* (Cambridge: Cambridge University Press, 2006).

Y. Chu, *How East Asians View Democracy* (New York: Cambridge University Press, 2008).

R. Putnam, *Making Democracy Work: Civic Traditions in Modern Italy* (Princeton: NJ; Princeton University Press, 1993).

R. Putnam, "Bowling Alone: America's Declining Social Capital," *JOD* 6, no. 1 (1995): 65–78.

M. Foley and B. Edwards, "Beyond Tocqueville: Civil Society and Social Capital in Comparative Perspective," *American Behavioral Scientist* 42, no. 1 (1998): 5–20.

R. Putnam, *Bowling Alone* (New York: Simon & Schuster, 2000).

J. Gibson, "Social Networks, Civil Society, and the Prospects for Consolidating Russia's Democratic Transition," *American Journal of Political Science* 45, no.

Session 11 (Apr 9): Internal Agency Explanations of Democratization

Readings:

#M. Burton, R. Gunther, and J. Higley, "Introduction: Elite Transformations and Democratic Regimes," in Higley and Gunther, eds., *Elites and Democratic Consolidation* (Cambridge: Cambridge University Press, 1992), pp. 1–37.

#A. Suarez, "The Transition to Democracy in Spain," speech at Harvard-MIT Seminar, May 25, 1983.
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Question: Which of Whitehead's three dimensions – contagion, control, or consent – is most likely to lead to the establishment of democracy? To its consolidation?

Question: Who is most responsible for Communism's collapse: Reagan, Gorbachev, or John Paul II?

Question: Is "Western-style" democracy compatible with Islam?

Question: Is democratization a crucial front in the War on Terror?

Question

Session 13 (Apr 23): Timing: The Role of Triggering Events

Readings:

- *Inglehart and Welzel, ch. 9 (öSocial Forces, Collective Action, and International Eventsö).
- #G. Richards, öStabilization Crises and the Breakdown of Military Authoritarianism in Latin America,ö *Comparative Political Studies* 18 (1986): 447–85.
- #J. Markoff and S. R. Duncan Baretta, öEconomic Crisis and Regime Change in Brazil: The 1960s and the 1980s,ö *Comparative Politics* 22 (1990): 421–44.
- A. Przeworski and F. Limongi, öModernization: Theories and Facts,ö *World Politics* 49 (1997): 155–183.
- öRevolution Echoes around Russia: Kyrgyzstan's People-power Revolt Spurs Other Protests against Corruption throughout the Region,ö *Christian Science Monitor* (online edition), March 30, 2005: <http://www.csmonitor.com/2005/0330/p06s01-wosc.htm>.
- J. Tucker, öEnough! Electoral Fraud, Collective Action Problems, and Post-Communist Colored Revolutions,ö *Perspectives on Politics* 5, no. 3 (September 2007): 535–551.

Question: Which are more important to democratization prospects, internal triggering events or external triggering events? Why?

Question

